



C2 Private Wealth's Fiduciary Acknowledgement

This notice is being sent to you on behalf of SC2 Private Wealth (hereinafter referred to as, “the Firm,” “C2PW,” “we,” or “us.” We are providing you with the following acknowledgment for purposes of complying with the US Department of Labor’s (“DOL”) Prohibited Transaction Exemption 2020-02 (“PTE 2020-02”), where applicable. This acknowledgment will be effective when we rely on PTE 2020- 02. If there is a conflict between this disclosure and your agreement with the Firm, this disclosure will govern.

Fiduciary Acknowledgment

Tax-qualified accounts include defined contribution and defined benefit plans: (1) employer-sponsored retirement plans (“Plans”) that are subject to the Employee Retirement Income Security Act Title I (“ERISA”), and individual retirement accounts (collectively “IRAs”) that are not subject to ERISA, but are subject to section 4975 of the Internal Revenue Code (the “Code”).

We are making investment recommendations to your retirement plan account or individual retirement account as fiduciaries within the meaning of Title I of The Employee Retirement Income Security Act and /or the Internal Revenue Code, as applicable, which are laws governing retirement accounts. The way we make money or otherwise are compensated creates some conflicts with your financial interests, so we operate under a special rule that requires us to act in your best interest and not put our interest ahead of yours. Under this special rule's provisions, we must:

- Meet a professional standard of care (give prudent advice) to you;
- Not put our financial interests ahead of yours;
- Avoid misleading statements about our conflicts of interest, fees, and Firm investments;
- Follow established policies and procedures designed to ensure that we give advice that is in your best interest;
- Charge no more than what is reasonable for our services; and
- Give you basic information about our conflicts of interest.

Rollovers from an Employer Sponsored Plan

In general, the firm and its financial professionals provide (1) general information and education to you about the factors to consider when deciding whether to move retirement plan assets to another account, or (2) a recommendation that you roll or transfer assets from the retirement plan to a qualified account at another custodian. If we provide you with a recommendation, you understand and agree that our analysis of any costs and services provided by your retirement plan, as compared to any costs charged by the Firm and/ or the new custodian, is dependent on the information you provide.

For a description of our fees, services, and conflicts of interest, please refer to our Form CRS, Form ADV and Form ADV Part 2A ("Brochure").

3.2025